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Spectroscopy and Electronic Structure of Electron Deficient Zinc Phthalocyanines

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Abstract: The effect of introduction of perfluoro alkyl groups into phthalocyanines, as evidenced by the spectroscopic properties of 1,4,8,11,15,18,22,25-octa-fluoro-2,3,9,10,16,17,23,24-octa-perfluoro isopropyl zinc phthalocyanine, ZnF₆₄Pc(-2) and its ring-reduced radical anion species, $[ZnF_{64}Pc(-3)]^-$, are reported. A combination of UV–visible absorption and magnetic circular dichroism (MCD) spectroscopy, ESI and MALDI-TOF mass spectrometry, cyclic and differential pulse voltammetry, and complete theoretical calculations using INDO/S and DFT techniques reveals that the substitution of all sixteen hydrogen atoms in protio ZnPc(-2) by eight F and eight iC_3F_7 groups red shifts the Q and $\pi \rightarrow \pi^*$ transitions and narrows the HOMO–LUMO gap while simultaneously preventing ring photooxidation and stabilizing the radical anion. The $[ZnF_{64}Pc(-3)]^-$ species, which is in equilibrium in solution with the neutral complex when a reducing agent is present, is unusually stable. The above effects are attributed to the strong electron withdrawing properties of the peripheral substituents, which render $ZnF_{64}Pc$ extremely electron deficient.

Introduction

The phthalocyanine (or tetraazatetrabenzporphyrin) ligand has a heteroaromatic π -system, Figure 1, and readily forms complexes with main group and transition metals. The aza-nitrogens and peripheral fused benzene rings impart chemical and thermal stability to the ligand. The optical properties of metal phthalocyanine complexes (MPc) have been studied extensively for several decades.¹ The low solubility of these complexes, combined with the intense $\pi \rightarrow \pi^*$ bands associated with the Pc ligand, led to industrial applications as pigments in paints and dyestuffs.² In recent decades, there has been renewed interest in the use of MPc complexes in a number of high technology applications, including those based upon the close structural relationship of the phthalocyanines to porphyrin complexes. Mimicking the natural energy cycle of chlorophyll and the oxygen binding and activation properties of the heme proteins, for example, has been a key goal in phthalocyanine research.³ New applications include as photosensitizers in photodynamic therapy⁴ and in anti-scrapie treatments,⁵ as power leads⁶ and molecular switches in nanotechnology,7 and as potential industrial catalysts.⁸ A rich patent literature covers phthalocyanine applications for optical recording media.

In contrast to uses as pigments, most new applications require enhanced solubility and/or synthetic options for the tuning of



Figure 1. Structure of $ZnF_{64}Pc(-2)$ complex based upon its X-ray coordinates¹⁰ tilted to show the bulkiness of the peripheral fluorinated isopropyl groups. The central metal is zinc. The X-ray analysis showed no deformation from planarity for the inner cyclic polyene ring.

the chemical and electronic properties of the MPc complexes. Many desired features could be achieved, in principle, by the addition of peripheral substituents to the Pc ligand. A useful structural modification, the replacement of the C–H bonds of Pc with C–X (halogen) bonds, especially C–F bonds, increases the robustness of the overall ring but does not render the molecules either more soluble or immune to nucleophilic attack.

The replacement, at least in part, of the X atoms in the C-X bonds of Pc by *aliphatic* perhalogenated substituents is expected to simultaneously reduce the nucleophilic susceptibility of metallophthalocyanines while enhancing their chemical and thermal robustness. Accordingly, we have shown recently that partial replacement of aromatic F groups by perfluoro isopropyl

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groups in a perfluoro Pc cobalt complex enhances its solubility and promotes novel catalytic oxidation chemistry while preventing the oxidative degradation of the catalyst.9 The analogous zinc complex, 1,4,8,11,15,18,22,25-octa-fluoro-2,3,9,10,16,17,-23,24-octa-perfluoro isopropyl zinc phthalocyanine, ZnF₆₄Pc-(-2), Figure 1, exhibits favorable solubility and photophysical and photodynamic properties.¹⁰ The presence of the eight electron withdrawing perfluoro isopropyl (i-C₃F₇) peripheral R_F substituents imparts resistance toward chemical (including both singlet and triplet dioxygen) and electrochemical oxidation. The presence of the R_F substituents is also expected both to facilitate ring reduction reactions and to stabilize the ring-reduced π -anion radical anion species, such as $[ZnF_{64}Pc(-3)]^{-11}$ Both the neutral and anionic species are likely to be extremely electron deficient, an attribute that should be reflected in their spectroscopic and other features. While we consider in this paper only the case where all hydrogen atoms are removed from a phthalocyanine molecule, partial replacements are known.¹² Such molecules, however, are expected to exhibit a reduced degree of chemical robustness due to residual C-H bonds in either the ring or substituents, while in-depth studies of the electronic structures and properties of their Zn complexes are not reported.

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Figure 2. Origins of the absorption and MCD spectral intensities for the first two bands (Q and B) observed in the optical spectra of the porphyrins and phthalocyanines. The Q-band near 670 nm for main group phthalocyanines^{11a} exhibits an intense MCD A term that arises from the predicted 9 units of angular momentum. The MCD spectrum of the B band near 330 nm in the phthalocyanines exhibits approximately 1 unit of angular momentum. This four orbital model was described by Gouterman et al.^{13,14} and accounts well for the first two major bands, as indicated on the right-hand side of the figure. Detailed spectral analysis of the absorption and MCD spectra data of a range of phthalocyanines^{11,16,17} and theoretical interpretation of these data¹¹ have led to the following sequence of bands (using the nomenclature of Gouterman's model and subsequent modifications by Stillman and co-workers):¹¹ Q (near 670 nm), second $\pi \rightarrow \pi^*$ (weak near 400 nm), B1 and B2 near 310 nm, and N (near 270 nm). Simplified one electron origins of these states are shown in Figures 8 and 9.

The interpretation of the optical data in terms of two major transitions between four orbitals was developed theoretically by Gouterman and co-workers and provides an excellent starting point for understanding the spectral data of porphyrins and phthalocyanines,^{11,13,14} Figure 2. The presence of changes in angular momentum of, nominally, ± 1 and ± 9 Bohr magnetons^{11,15} results in highly distinctive MCD spectra.¹¹ Figure 2 shows the origins of the MCD A terms^{11,15} observed for two lowest energy bands in both porphyrins and phthalocyanines, the Q and B bands. As we discuss in more detail in the following, the energy separation between the top two highestoccupied molecular orbitals in the metallophthalocyanines means that the formally forbidden Q-band near 670 nm exhibits significant dipole strength as well as a magnetic moment change close to 4 Bohr magnetons.^{11,14,15} Analysis of the combined absorption and MCD data, especially of the main group elements, provides definitive assignment criteria to enable the first three-four excited states to be located, as well as providing guidance for theoretical calculations.¹⁶⁻¹⁸

In this paper, we report the mass spectroscopic and the absorption and magnetic circular dichroism spectral data properties of the $ZnF_{64}Pc(-2)$ and the ring-reduced $[ZnF_{64}Pc(-3)]^-$

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species, together with ZINDO and DFT calculations of their respective electronic structures. We compare these data with those reported previously for the nonfluorinated MPc(-2) and $[MPc(-3)]^-$ species.¹¹ The impact of peripheral substitution on the π -system of the ligand is evidenced by the large changes in the optical spectra of ZnF₆₄Pc. Optical spectroscopy is particularly revealing since metal-to-ligand and ligand-to-metal charge transfer bands are not present in the UV–visible spectrum of ZnPc(-2). We report the second complete analysis of an MCD spectrum of a phthalocyanine anion radical measured at room and low temperatures.

Materials and Methods

1,4,8,11,15,18,22,25-octa-fluoro-2,3,9,10,16,17,23,24-octa-perfluoro isopropyl zinc phthalocyanine, $ZnF_{64}Pc(-2)$, was synthesized and purified as reported previously.^{9,10} Spectrograde dimethylformamide (DMF) (Fischer Scientific) and dimethylacetamide (DMA) (Aldrich), hydrazine hydrate (Aldrich), and CBr₄ (Kodak) were used as supplied. LiCl (Kodak) was recrystallized before use as an electrolyte. Photoreduction of $ZnF_{64}Pc(-2)$ was carried out in a 1% v/v hydrazine hydrate/DMF solution using a 300 W tungsten—halogen Kodak projector lamp fitted with a Pyrex filter. Photooxidation of the $[ZnF_{64}Pc(-3)]^-$ radical anion species was carried out using a 0.01 M solution of CBr₄ as the electron acceptor.^{11c,19}

Mass Spectrometry. Electrospray ionization mass spectrometry (ESI-MS) was carried out on DMF solutions of $\text{ZnF}_{64}\text{Pc}(-2)$ using a Sciex API 365 mass spectrometer. A Hamilton 250 μ L syringe was used to provide an infusion rate of 2 μ L min⁻¹. The mass spectrometer was operated in the negative ion mode at a potential of -5400 V at the ionization tip. Calibration was carried out using a standard solution of polypropylene glycol (PPG). Matrix assisted laser desorption ionization with time-of-flight detection mass spectrometry (MALDI-TOF) data were acquired on a Micromass TofSpec 2E mass spectrometer (Wythenshawe, Manchester, U.K.) in the negative ion mode. A 1 mg/mL ZnF₆₄Pc(-2) acetone solution and a 10 mg/mL matrix of α -cyano-4-hydroxycinnamic acid were mixed 1:1 prior to application on the target. The samples were analyzed in reflection mode, and the MS data were externally calibrated with a peptide mix (bradykinin, angiotensin I, renin substrate and ACTH18-39).

Optical Spectroscopy. A. Absorption Spectroscopy. Room temperature spectra were recorded in degassed, argon-saturated DMF solutions with a Cary 5 spectrophotometer using the software package supplied by Varian. Absorption spectra were measured at liquid nitrogen temperatures in an Oxford Instruments CF-204 gas flow optical cryostat mounted in the sample compartment of an AVIV 17-DS spectrophotometer using the Aviv software package and a specially designed optical cell.^{17f}

B. MCD Spectroscopy. Room temperature MCD spectra were recorded with an Oxford Instruments SM2 superconducting magnet

with a 5.1 T field, mounted in the sample compartment of a Jasco J-810 CD spectropolarimeter. Data were recorded under the control of an PC computer using the Spectramanager program of Jasco Inc. A $CoSO_4$ solution was used to calibrate the field strength. Low temperature spectra were recorded using optically transparent vitrified solutions of a DMF/DMA (~90/10%) solvent mixture, where an Oxford Instruments SM4 superconducting magnet (0–5 T) was mounted in the sample compartment of a Jasco J-500C spectrometer for measuring low temperature MCD spectra using the same vitreous solutions and optical cells at 40 K with a magnetic field of 1.6 T.

Electrochemistry and Spectroelectrochemisty. The electrochemical experiments were performed in DMF, with LiCl, 0.1M, as the supporting electrolyte, using a Princeton Applied Research model 273 potentiostat and the VOLTAMSCAN program running on an IBM 9000 computer.^{17a,19} The working and auxiliary electrodes were constructed of platinum plates, with an area of 40 mm². Ag/AgCl was the reference electrode. Scan rates of 50 mV/s and 5 mV/s were used to record the cyclic voltammogram and the differential pulse voltammogram, respectively. Spectroelectrochemisty was carried out in a cell with a specially designed cell cap, with a nitrogen purge, along with working, auxiliary, and reference electrode contacts. The AVIV 17-DS spectro-photometer was used to obtain the UV–visible data.

Electron Paramagnetic Resonance Spectroscopy. The electron paramagnetic resonance (EPR) spectrum of $[ZnF_{64}Pc(-3)]^-$ was recorded on a Bruker 300 X-band spectrometer at 77 K in a liquid nitrogen Dewar. $[ZnF_{64}Pc(-3)]^-$ was prepared photochemically, as described previously, in a DMF/hydrazine solution at room temperature and was subsequently quenched in liquid nitrogen.

Spectral Band Deconvolution. The SIMPFIT program allows spectral band deconvolution of extensively overlapped spectral envelopes.11g,16 The major problem associated with band deconvolution analyses is that many different potential fits of Gaussian shaped bands can usually be obtained for a single spectral data set. SIMPFIT overcomes this problem by calculating an experimental spectrum that matches both the MCD and absorption spectral data sets recorded for the same solution. The MCD signal arises from the same electronic transitions as the UV-visible absorption spectrum, but the selection rules are different, as the intensity mechanism depends on the magnetic dipole moment, in addition to the electric dipole moment.^{11,15} The band morphologies, however, are different as the applied external magnetic field has a significant impact on the orbital and spin degeneracy of electronic states. The Faraday A, B, and C terms have been assigned considering that the derivative-shaped A term, which identifies degenerate excited states, is temperature independent, while the Gaussianshaped C term, which identifies an orbitally degenerate ground state, is highly temperature dependent. The temperature independent B terms, which arise from mixing between closely related states linked by a magnetic dipole transition moment, is also Gaussian shaped.^{11,15} The B and C terms can have either a positive or negative intensity. The sign of the A term is related to whether the positive or negative portion of the derivative-shaped signal occurs at higher energy. The MCD spectrum in the visible (Q-band) region of symmetric metallophthalocyanines is dominated by a positive A term centered on the Q-band near 670 nm. When this degeneracy is broken, two coupled B terms of opposite signs maintain the same alternating sequence in signs as the A term, but with greatly diminished signal intensity.

Under the rigid shift assumption, the application of a magnetic field makes no difference to the spectral band shape function.^{15a} Data recorded at cryogenic temperatures on vitreous solutions are better suited for use with the SIMPFIT program than room temperature data. Solvation effects broaden absorption bands recorded at room temperature to a more significant extent than is the case in the MCD spectrum due to the different selection rules associated with the two techniques.^{11dag} When spectra are measured from vitrified solution, close agreement is observed in absorption and MCD bandwidths, since hot bands associated with vibrational levels of the ground state are frozen out. Obtaining a

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satisfactory fit for two spectra with very different band morphologies using the same basic band parameters removes much of the ambiguity that is normally associated with spectral band deconvolution analysis. An updated version of SIMPFIT^{11g,16b} developed using Visual Basic was used for the band fitting reported in this paper.

ZINDO, DFT, and MOPAC Molecular Orbital Calculations. All calculations were performed using CAChe WorkSystem Pro Version 5 software (Fujitsu America).²⁰ The starting structures were developed through the use of the Workspace module of the CAChe workstation software²⁰ and were refined at the Restricted Hartree–Fock self-consistent field (SCF) level²¹ using the ZINDO program in the CAChe software package.^{22,23} These SCF optimizations were carried out at the intermediate neglect of differential overlap/²⁴ (INDO/1) level of approximation. Structures were then optimized by a density functional theory (DFT) calculation using the B88-PW91 GGA functional with DZVP basis sets or through ground state geometry calculation based on eigenfollowing optimization. UV–visible absorption spectra were then calculated using the spectroscopic INDO Hamiltonian (INDO/s).^{22,23}

Results

The chemical and photochemical effects of the significant electron withdrawing properties of the fluorinated isopropyl peripheral substituents^{9,10,19} dramatically move the electronic equilibrium toward the ring-reduced species. The effect of this move in charge toward the periphery is observed in the mass spectral data and in the electrochemical data, through the ease of forming the ring-based, π anion radical. Because the energies of the molecular orbitals are disturbed so much from the unsubstituted ZnPc(-2), we have an opportunity to test theoretical predictions using ZINDO/S techniques in which states defined by their polarization properties can be identified in the MCD spectral data.

Electrospray ionization mass spectrometry provides direct structural information about the species present in solution, information that cannot be obtained from optical spectroscopy or, in many cases, from more traditional electron ionization mass spectrometery where the sample is vaporized first. The ESI-MS spectrum of the $ZnF_{64}Pc(-2)$ complex dissolved in DMF is shown in Figure 3. Two main signals are measured for this solution, at 1050 m/z and at 2101 m/z, panel A in Figure 3.²⁵ The signal at 1050 m/z is assigned to $[(Cl^{-})ZnF_{64}Pc(-3)]^{-}$, which is in equilibrium in solution with $(Cl^{-})ZnF_{64}Pc(-2)$. The origin of the Cl⁻ ion is unknown at this time. It could simply be a low-level contaminant, considering that typical concentrations of ZnF₆₄Pc are at the micromolar level. The parent ion mass in each case included mass and charge of the chloride ion. The spectrum of panel B was obtained from a solution of $(Cl^{-})ZnF_{64}Pc(-2)$ that had undergone photoreduction to $[(Cl^{-})ZnF_{64}Pc(-3)]^{-}$ according to eq 1:

$$(\text{Cl}^{-})\text{ZnF}_{64}\text{Pc}(-2) + \text{N}_{2}\text{H}_{4}\cdot\text{H}_{2}\text{O} + h\nu \rightarrow [(\text{Cl}^{-})\text{ZnF}_{64}\text{Pc}(-3)]^{-} + \text{hydrazine radical products} (1)$$

The photoreduction is complete, as evidenced by the observation of only the 1050 m/z peak of $[(Cl^-)ZnF_{64}Pc(-3)]^-$. It appears that the perfluoro peripheral substituents withdraw electron density from the inner ring and the central metal, so that axial ligation is enhanced relative to the parent $\text{ZnF}_{64}\text{Pc-}(-2)$ complex, as exemplified by the binding of Cl⁻. The presence of the anion radical was confirmed by the EPR spectrum of the previous solution, which exhibits a $g \approx 2.009$ signal at liquid nitrogen temperatures, Figure 7. The photoreduction is reversible.

The radical anion was photooxidized using CBr_4 as the electron acceptor, according to eq 2:

$$[(Cl^{-})ZnF_{64}Pc(-3)]^{-} + CBr_{4} + h\nu \rightarrow$$

$$(Cl^{-})ZnF_{64}Pc(-2) + Br^{-} + other radical products (2)$$

As shown in the resultant mass spectrum (Figure 3, panel C), the anion radical peak is completely absent following the photoinduced oxidation. The MALDI-TOF-MS data for ZnF₆₄-Pc(-2), Figure 4, reveal a signal in the mass range for ZnC₅₆F₆₄N₈ (2065.97 amu), consistent with the FAB mass spectrum,¹⁰ as well as a second set of peaks at \sim 2101 amu, which corresponds to the chloride, $(Cl^{-})ZnF_{64}Pc(-2)$.¹⁹ These assignments have been confirmed by a comparison with the corresponding calculated isotopic distribution patterns (B and D of the inset in Figure 4). The presence of the Cl⁻ anion in the mass spectrum suggests that Zn(II) preferentially binds the adventitious chloride forming a 5-coordinate species. Unlike the case of the anion, attempts to photochemically oxidize ZnF₆₄-Pc(-2) to form the radical cation $[ZnF64Pc(-1)]^+$ species proved unsuccessful. There is evidence, however, that, in the presence of strong electron donating ligands, such as imidazole, $ZnF_{64}Pc(-2)$ can be oxidized electrochemically.¹⁰

Cyclic and differential pulse voltammetry indicate that the reduction of the $ZnF_{64}Pc(-2)$ complex is much easier than in the case of ZnPc(-2), Figure 5. The first reduction potential for $ZnF_{64}Pc(-2)$ is at -630 mV versus SHE (-430 mV vs Ag/AgCl), being fully reversible, while the second irreversible reduction occurs at -1120 mV (-920 mV vs Ag/AgCl). The corresponding potentials for ZnPc are -950 mV and -1450 mV vs SHE,²⁶ very much more negative.

The electrochemical reduction of (Cl⁻)ZnF₆₄Pc(-2) in DMF is accompanied by systematic changes in the UV–visible spectrum, Figure 6, that are associated with radical anion formation.^{11c,f} The increase of the potential from -50 mV to

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(25) We use nomenclature designed to unambiguously define the charge on both the ring and the metal. The phthalocyanine ring is a dianion, so when written as MPc(-2), with a divalent metal such as Zn(II), we imply the neutral [MPc]⁰ complex. In the case of ring-reduced or oxidized radical species, Pc(-3) and Pc(-1) denotes the charge on the ring, while the overall charge of the complex is indicated by square brackets, so the ring-oxidized radical cation is [ZnPc(-1)]⁺, whereas the ring-reduced, radical anion is [ZnPc(-3)]⁻. When a specific axial ligand is known to be present, it is included within the brackets. This is not a problem with neutral ligands such as pyridine. However, we are in this paper writing about an MPc cation radical species axially ligated with X⁻ as, therefore, [(X⁻)MPc(-1)]⁺, which means that the overall charge shown is emphasizing the redox state of the ring rather than the sum of the ring, metal, and axial ligand. For the ring-reduced anion radical, we write the complex as [(Cl⁻)(MPc(-3)]⁻ ignoring the charge on the coordinated chloride to emphasize the charge on the ring (here with 19 π electrons). We hope that this scheme allows the key redox states of the ring to be seen as in this case; it is the number of ring π electrons, 17, 18, or 19, that is determining the observed properties.

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Figure 3. Electrospray ionization MS data for a DMF solution of $ZnF_{64}Pc(-2)$. (A) Direct infusion of a sample of crystalline $ZnF_{64}Pc(-2)$ dissolved in DMF. The ion at 1050 *m/z* corresponds to the doubly charged [(Cl⁻) $ZnF_{64}Pc(-3)^{-}$ ring-reduced, radical anion; the ion at 2101 *m/z* corresponds to (Cl⁻) $ZnF_{64}Pc(-2)$. (B) The only peak measured following photochemical reduction of the sample using hydrazine hydrate as the electron donor, this mass corresponds to a parent ion of [(Cl⁻) $ZnF_{64}Pc(-3)^{-}$. (C) The same solution used for panel B following photooxidation using CBr₄ as the electron acceptor. The peak corresponds to (Cl⁻) $ZnF_{64}Pc(-2)$. See the nomenclature described in ref 25.

-500 mV in 50 mV steps, with 30 min equilibrium times, results in the gradual formation of the $[\text{ZnF}_{64}\text{Pc}(-3)]^-$ radical anion, with band maxima at 468, 594, and 743 nm. No bands of longer wavelength were observed. This solution can be readily oxidized electrochemically to regenerate $(\text{Cl}^-)\text{ZnF}_{64}\text{Pc}(-2)$ in almost 100% yield.

Figure 7 shows EPR data recorded at 77 K for a sample of $ZnF_{64}Pc(-2)$ that had been photochemically reduced to the $[ZnF_{64}Pc(-3)]^-$ radical anion with hydrazine hydrate as the electron donor according to reaction 1. The g = 2.009 center point identifies a ring-based radical. These data confirm that the radical anion species is monomeric at room temperature

because the radical is detected at low temperatures in both the EPR and MCD (in the following) experiments.

To describe the spectral features of the neutral $\text{ZnF}_{64}\text{Pc}(-2)$ and, later, the ring-reduced $[\text{ZnF}_{64}\text{Pc}(-3)]^-$ anion radical, we first describe a model based on analysis of the absorption and MCD data of ZnPc(-2) and its oxidized and reduced species,¹¹ together with detailed ZINDO/S and DFT calculations. Figure 8 shows one-electron transitions between the ring $-\pi$ MOs that form the largest fraction of the lowest five excited states. In addition, the origins of two weaker bands are shown, namely, the n $\rightarrow \pi^*$ and the second $\pi \rightarrow \pi^*$ bands that lie between 650 and 350 nm.^{11d} It is important to note that the introduction of



Figure 4. MALDI-MS data for a crystalline sample of $ZnF_{64}Pc(-2)$ using the conditions described in the Materials and Methods section. The mass spectrum shows two major band centers, at 2064 and 2101 *m/z*. (A) Observed isotopic pattern for $ZnF_{64}Pc(-2)$ at 2064 *m/z* and (B) predicted isotopic pattern. (C) Observed isotopic pattern for (Cl⁻) $ZnF_{64}Pc(-2)$ at 2101 *m/z* and (D) predicted isotopic pattern.



Figure 5. Cyclic voltammogram (CV) (top) and differential pulse voltammogram (DPV) (bottom) of a DMF solution of (Cl⁻)ZnF₆₄Pc(-2). The electrolyte was 0.1 M TPAP with a reference electrode of Ag/AgCl. Under these conditions, only the two reduction potentials shown (-430 and -920 mV vs Ag/AgCl, and when corrected to the SHE, these values are -630 and -1120 mV, respectively) were observed. No oxidation potentials could be detected.

the aza-nitrogens and the fused benzene groups that change a porphin ring into a phthalocyanine ring result in the appearance



Figure 6. Spectroelectrochemical reduction of $(Cl^{-})ZnF_{64}Pc(-2)$ to $[(Cl^{-})ZnF_{64}Pc(-3)]^{-}$ in DMF using an electrolyte of 0.1 M LiCl. The wavelengths marked indicate the band maxima for $(Cl^{-})ZnF_{64}Pc(-2)$ and $[(Cl^{-})ZnF_{64}Pc(-3)]^{-}$.

of a large number of additional molecular orbitals. The dotted line box in Figure 8 shows the 4-orbitals of the Gouterman model^{13,14} outlined in Figure 2. As we will show below in new calculations reported in this paper for the peripherally substituted phthalocyanine, these four orbitals retain their identity despite the major perturbations invoked by the peripheral substitutions of the fluorinated isopropyl groups. Figure 9 shows the oneelectron description of the lowest energy states for the oneelectron, ring-reduced anion radical $[ZnPc(-3)]^{-.11e-g}$ The key change here is that the spectroscopic evidence from the analysis of the low temperature MCD spectra of the unsubstituted [ZnPc-(-3)]⁻ proves that the ground state is nondegenerate.^{11c} The lack of MCD A terms in the $[ZnPc(-3)]^{-}$ spectral data^{11c} is unambiguous evidence that the geometry of the ring is highly distorted. Transition are expected for $\pi \to \pi^*$ and $\pi^* \to \pi^*$ molecular orbitals as shown. The $\pi \rightarrow \pi^*$ transitions will retain their Q, B1, and B2 characteristics but with significant changes in energy and band intensities due to the presence of the electron in the π^* excited state. Overlaid on the $\pi \rightarrow \pi^*$ set of transitions will be new transitions assigned as $\pi^* \rightarrow \pi^*$. A key assignment criterion will be the alternating +/- sign of the MCD bands as the B terms arise from the splitting of degenerate states, so the B terms will resemble split positive A terms.

The room temperature absorption and MCD spectra for ZnF₆₄-Pc(-2) in DMF are shown in Figure 10. The lowest energy $\pi \rightarrow \pi^*$ band (the Q-band arising from the $1a_{1u} \rightarrow 1e_g^*$ transition), Figure 8, shifts to the red relative to ZnPc, from 670 to 692 nm. The intense positive A term followed by the vibronic bands to higher energy^{11d} is typical for a main group phthalocyanine.^{11a,f,g} Because there are no possible charge transfer transitions, the 450–600 nm region is transparent. The 300–450 nm region is much more complicated in the case of the perfluoro derivative than observed for the ZnPc(-2) species.¹⁷ In the case of "regular", main group (L)_nMPc(-2) complexes, there are two intense, overlapping, positive A terms in the MCD spectrum named the B1 and B2 bands, Figure 8, ^{11a,17} that arise primarily from transitions from $1a_{1u}$ and $1b_{1u}$ into the $1e_g^*$ LUMO. In porphyrin complexes, the $1a_{1u}$ and $1a_{2u}$ HOMOs are nearly



Figure 7. EPR spectrum (77 K) of $[ZnF_{64}Pc(-3)]^-$, generated photochemically in DMF in the presence of hydrazine hydrate. The band is centered on g = 2.009.

degenerate and are well separated in energy terms from the $1b_{1u}$ MO, so the B2 band is only observed in the case of the phthalocyanines. The bands within the B1/B2 region are generally not well resolved and often can only be identified by band deconvolution that couples the absorption and MCD spectral data.^{11a,g,17} In the case of the MCD spectrum of (Cl⁻)ZnF₆₄Pc(-2), Figure 10, it is clear that there are two overlapping, positive A terms centered on the two maxima in the absorption; the complete fits for the perfluoro complex are described in the following section.

The major effect of the 64 F substituents is a significant red shift of the first intense UV-region band to 430 nm (with the negative maximum of the MCD band at 436 nm). The low temperature absorption spectrum, which was obtained using a vitrified DMF/DMA solution at 77 K, Figure 10 (dotted line), shows significant sharpening of all the bands but no new bands or significant shifts in band maxima. While sharpening was observed in the low temperature MCD spectrum, which is dominated by A terms, no significant temperature dependence was observed in the MCD intensity, which is consistent with the expectation that the ground states of this divalent, main group D_{4h} MPc(-2) complexes are ¹A_{1g}, while the accessible $\pi \rightarrow$ π^* excited states are ¹E_u (x/y polarized). The UV-visible absorption (at 298 and 77 K) and MCD (at 298 and 40 K) spectra of photoreduced $[(Cl^{-})ZnF_{64}Pc(-3)]^{-}$ are shown in Figure 11. The major features in the absorption spectrum of the anion radical are similar to those reported previously for $[ZnPc(-3)]^{-,11c}$ notably three sets of bands are prominent in the wavelength ranges (unsubstituted $[ZnPc(-3)]^-$ wavelength ranges in parentheses) 350-500 nm (383-434 nm), 500-650

nm (569–635 nm), and 650–900 nm (800–1000 nm). Missing from the absorption data of $[(Cl^-)ZnF_{64}Pc(-3)]^-$ are the weak bands near 950 nm identified as the Q-band in the spectra of $[ZnPc(-3)]^{-.11c}$

The MCD data are essential in providing a guide to the assignment of these absorption bands. As we will see later in the Discussion, analysis of the MCD data and correspondence with the results of the ZINDO/S calculations indicate that the bands between 350 and 500 nm are $\pi \rightarrow \pi^*$ transitions to the B1 and B2 states and the bands between 550 and 900 nm arise from red shifted $\pi^* \rightarrow \pi^*$ transitions. The lack of any appreciable absorption out to 2000 nm, strongly suggests that the π $\rightarrow \pi^*$ Q-band lies near 800 nm and is masked by the more intense $\pi^* \rightarrow \pi^*$ transitions. The low temperature MCD data, shown as a dotted line in Figure 11, provides unambiguous evidence that the ground state is nondegenerate and that the spectrum is dominated by B terms rather than C terms expected for a configuration based on a degenerate 1eg* LUMO [e.g., $((1a_{2u})^2 (1a_{1u})^2 ((1e_g^*)^2)$). Similar to the spectral data reported previously for nonfluorinated $[ZnPc(-3)]^{-11c}$, the MCD signal intensity was found to be temperature independent over the range 298-40 K. The EPR data provides confirmation that the unpaired electron in the Jahn-Teller split 1e_g* LUMO is not spin paired, as it would be if the molecule existed as a dimer.

ZINDO, DFT, and MOPAC Molecular Orbital Calculations. Geometry optimization calculations were performed on 1,4,8,11,15,18,22,25-F,2,3,9,10,16,17,23,24-C₂F₅ ZnPc, a ZnF₄₈Pc model complex for ZnF₆₄Pc due to memory related limitations of the CAChe ZINDO and DFT computation



Figure 8. Molecular orbital diagram of ZnPc(-2) showing the transitions that are predicted to give rise to absorption bands in the 280-1000 nm range of the optical spectrum, including the prediction of MCD A terms. The orbital ordering is based on current ZINDO calculations and spectral deconvolution studies;^{11e-g} however, it follows closely the ordering first proposed by Gouterman and co-workers based on the SCMO-PPP-CI model.^{13,14} The dotted line indicates the four orbitals referred to in Figure 2 that are based on Gouterman's original four-orbital LCAO model.¹³ Interspersed with the π MOs of the inner perimeter cyclic polyene ring are MOs that are associated primarily with the peripheral portions of the extended π -system, Table 5. The lower orbitals on the right of the main π $\rightarrow \pi^*$ set are the four aza-nitrogen lone pair MOs. Transitions that give rise to x/y-polarized bands (and, therefore, A terms in the MCD spectrum) are represented with solid lines. Dashed lines are used for z-polarized transitions that are predicted to lie in the Q-band region and will give rise to MCD B terms. On the right-hand side, we show the states calculated to exhibit significant absorption intensity in the 250-1000 nm region, with the state symmetry for a D_{4h} molecular symmetry. The second $\pi \rightarrow \pi^*$ transition is observed as a very weak doubly degenerate band in the spectra of main group MPc(-2) complexes.



Figure 9. Molecular orbital and excited state energy level diagram of $[ZnPc(-3)]^-$ assuming $C_{2b}(III)$ symmetry. The C_2 axis lies within the plane of the ring due to ring ruffling/doming when the aromaticity is lost through the addition of an extra electron to the π -system.^{11c} The $n \rightarrow \pi^*$ transitions are placed between the Q_{vib} and B1 transitions on the basis of an earlier assignment of the Q-band region of $(CN^-)ZnPc(-2)$.^{11d} The lowering of the symmetry results in all states being nondegenerate, for which the model predicts that there should only be B terms observed in the MCD spectrum. However, the B terms will occur as coupled pairs of opposite sign.

managers with respect to the number of atoms within the structure during geometry optimization calculations.

UV-visible absorption spectra were then calculated for $ZnF_{48}Pc(-2)$ and $[ZnF_{48}Pc(-3)]^-$ using the spectroscopic INDO Hamiltonian (INDO/s).^{21,22} Since the spectrum predicted for $ZnF_{48}Pc(-2)$ is very similar to that of $ZnF_{64}Pc(-2)$, Tables 2 and 5, the ZINDO/s spectrum for $[ZnF_{48}Pc(-3)]^-$ can be



Figure 10. Electronic absorption (UV–visible) and MCD spectra of $(Cl^{-})ZnF_{64}Pc(-2)$ in DMF at room temperature and in a 9:1 DMF/DMA vitrified mixture at 80 K (UV–visible) and 40 K (MCD).

expected to be very similar to the one that would have been obtained for $[ZnF_{64}Pc(-3)]^{-}$, if the geometry optimization had been possible. The ZINDO/s calculation was also carried out for 1,4,8,11,15,18,22,25-F-2,3,9,10,16,17,23,24-*i*-C₃F₇ ZnPc $(ZnF_{64}Pc(-2))$ based on the coordinates obtained from X-ray crystallography¹⁰ in the absence of the acetone ligands and with an additional Cl- ligand added to match the species studied during the spectroscopic measurements, Tables 5 and 6. When calculations were attempted for a $[(Cl^{-})ZnF_{48}Pc(-3)]^{-}$ species in the absence of X-ray coordinates, the geometry optimization routines predicted a separation of the central metal and the Claxial ligand, which was sufficiently large that the resulting ZINDO/s spectrum was almost identical to that of [ZnF48Pc-(-3)]⁻. The results of the ZINDO calculations for ZnPc(-2), $ZnF_{48}Pc(-2)$, $[ZnPc(-3)]^{-}$, $[ZnF_{48}Pc(-3)]^{-}$, $(Cl^{-})ZnF_{64}Pc^{-}$ (-2), and $[(Cl⁻)ZnF_{64}Pc(-3)]^{-}$ are shown in Tables 1-6, respectively. The energies of the π molecular orbitals (MOs) are tabulated in Tables 7-9 so that the one-electron transitions that are responsible for the bands in the ZINDO calculated spectra, Tables 1-6, can be readily compared despite the differences in orbital labeling due to differences in symmetry.

The distinctive nodal and antinodal patterns observed for the π -system MOs of ZnPc(-2) are also observed in the case of ZnF₄₈Pc(-2), ZnF₆₄Pc(-2), and (Cl⁻)ZnF₆₄Pc(-2). Figure 12



Figure 11. Electronic absorption (UV–visible) and MCD spectral data of $[ZnF_{64}Pc(-3)]^-$ in DMF at room temperature and in a 9:1 DMF/DMA vitrified mixture at 80 K (UV–visible) and 40 K (MCD). The anion radical was formed photochemically using hydrazine hydrate as the electron donor. No unreacted neutral species remains.

shows the nodal patterns calculated for six frontier π -system molecular orbitals of D_{4h} symmetry in ZnPc(-2) (top two rows) and C_{4h} symmetry in $ZnF_{64}Pc(-2)$ (bottom two rows) that are associated with the major $\pi \rightarrow \pi^*$ transitions in the UV-visible region, using data in Tables 1, 5, and 7. In Table 7, the orbitals have been ordered relative to the corresponding ZnPc(-2) MO so that the one-electron transitions that are responsible for the bands in the ZINDO calculated spectra, Tables 1-6, can be compared directly despite the symmetry-induced differences in orbital labeling. The results for the fluorinated phthalocyanines are assumed to be virtually independent of the presence or absence of axial acetone ligands. The X-ray structure of the bisacetone complex demonstrates that the solvent is not constrained within the fluorinated cavities and is, thus, unlikely to have any steric effect upon the geometry of the Pc ring.¹⁰ Therefore, omission of the acetone from the calculation is not expected to result in ring distortions, a geometric change which would have influenced the outcome of the MO calculations. DFT geometry optimizations of the structures of ZnPc(-2), $(Cl^{-})ZnPc(-2)$, $[ZnPc(-3)]^{-}$, $ZnF_{16}Pc(-2)$, $[ZnF_{16}Pc(-3)]^{-}$, and (Cl⁻)ZnF₁₆Pc(-2) gave similar results to those obtained from the ZINDO/1 optimizations. Calculations for zinc tetraazaporphyrin (ZnTAP), ZnPc(-2), [ZnPc(-1)]⁺, and [ZnPc-(-3)]⁻ have demonstrated that these techniques produce almost identical structures, most likely because for symmetric porphyrin and phthalocyanine ligands there is a planar heteroaromatic structure.^{11g} These spectra can be compared to those reported previously for ZnPc(-2) and [ZnPc(-3)]⁻ during the analysis of [MPc(-(2 + n))]ⁿ⁻ (n = -1 \rightarrow 2) species,^{11d,g} Tables 1–4. Figure 13 shows the contours for the six frontier π -system molecular orbitals of C_{2v} (III) symmetry [ZnPc(-3)]⁻ (top two rows) and [ZnF₄₈Pc(-3)]⁻ (bottom two rows) species that are associated with the major $\pi \rightarrow \pi^*$ and $\pi^* \rightarrow \pi^*$ transitions in the UV–visible region, using data reported in Tables 3, 4, and 7.

Geometry optimization of a series of complexes substituted with -F, $-C_2F_5$, and *i*- C_3F_7 groups at the α -(1,4,8,11,15,18,-22,25) and β -(2,3,9,10,16,17,23,24) positions were carried out using the CAChe MOPAC program²⁷ with PM3 parameters. Memory limitations made DFT optimizations of complexes with *i*- C_3F_7 groups at each of the eight α - or β -positions impossible. The optimized structures were used to calculate the UV-visible absorption spectra so that the λ_{max} values of the Q-band which arises from the lowest energy $\pi \rightarrow \pi^*$ transition could be compared, Figure 14. In this figure, the band maxima for each of the eight complexes are plotted. When the symmetry is lower than D_{4h} , the Q-band splits into two components.

Discussion

The substitution of protons in the ligand of the protio complex, $ZnH_{16}Pc(-2)$, by fluorine atoms and perfluoro alkyl groups has a profound effect upon its electronic structure, as reflected in changes of the electronic spectrum and redox potentials. These effects are particularly noticeable by the appearance of the ring-reduced, anion radical in the presence of oxygen in solutions. Such changes can be attributed, in principle, to molecular distortions or electronic effects or a combination of these two factors. Modeling the geometry using the CAChe MOPAC program for a series of complexes substituted with a combination of -F, $-C_2F_5$, and *i*- C_3F_7 groups at the α - and β -positions demonstrates that it is substitution at the α -position that has the greatest impact on the wavelength of the S1 excited state used in photodynamic therapy applications, Figure 14. Complexes with $-C_2F_5$ or *i*- C_3F_7 substituents at the α -position are predicted to be nonplanar due to the steric interactions between the alkyl groups. In contrast, at the β -position, the bulky *i*-C₃F₇ peripheral substituents do not alter either the Pc ring planarity or the central, in-plane position of the metal ion. The steric crowding resulting from their ortho position is relieved by their orientation such that the smaller tertiary fluorine atoms are almost in the plane of the Pc ring, while the bulky trifluoromethyl groups are above and below it, Figure 1.

Considering that (i) the ring planarity of $ZnF_{64}Pc(-2)$ is maintained in solid state¹⁰ in the presence of axially coordinated acetone and that (ii) no unusual intermolecular interactions that might enforce ring planarity are revealed by the X-ray structure of (acetone)₂ZnF₆₄Pc(-2), we find it is reasonable to assume that the Pc ring remains planar in solution. Thus, the observed spectroscopic effects of peripheral substitution of H by F and of F by *i*-C₃F₇ groups are primarily electronic in nature; that is,

⁽²⁷⁾ Stewart, J. J. P. MOPAC 2002; Fujitsu Limited: Tokyo, Japan, 1999.

Table 1. Calculated Electronic Excitation Spectrum of ZnPc(-2)

		. ,		
symmetry ^b	calcd ^c	obsd ^d	wave function ^e	assignment [/]
$^{1}A_{1g}$				ground state
${}^{1}E_{ux}$	14.8 (0.903)	14.8	$-0.950 1e_g* - 1a_{1u} > +0.260 1e_g* - 1a_{2u} > +$	Q
${}^{1}E_{ux}$	30.0 (0.023)		$-0.978 2e_{g}^{*} \leftarrow 1a_{1u}^{>} -0.073 4e_{g}^{*} \leftarrow 1a_{1u}^{>} +$	second $\pi \rightarrow \pi^*$
${}^{1}A_{2u}$	34.1 (0.032)	16.5	$0.561 1e_g * - e_u^N > -0.472 1b_{2u} * - b_{1g}^N > +$	$\mathbf{n} \rightarrow \pi^*$
¹ E _{ux}	34.3 (0.428)	25.5	$0.808 1e_g^* - 1b_{1u}^> - 0.255 1b_{2u}^* - 1e_g^>$	B1
			$-0.221 1e_g * -1a_{2u} > +$	
${}^{1}E_{ux}$	34.7 (2.233)	29.9	$-0.815 1e_g*-1a_{2u}>-0.348 3e_g*-1a_{1u}>$	B2
			$-0.252 1e_g*-1b_{1u}>+0.228 1e_g*-1a_{1u}>+$	
${}^{1}E_{ux}$	36.5 (0.330)	33.6	$-0.574 3e_g*-1a_{1u}>+0.371 1e_g*-1a_{2u}>$	Ν
			$-0.259 1b_{1u}*-1e_g>-0.251 2e_g*-2a_{2u}>$	
			$-0.222 2e_{g}*-1b_{1u}>+$	
${}^{1}E_{ux}$	39.0 (0.014)	36.2	$-0.635 3e_g*-1a_{1u}>-0.593 1e_g*-2b_{2u}>$	L
			$+0.278 1e_{g}*-2a_{1u}>+0.216 1b_{2u}-2e_{g}*>+$	
${}^{1}E_{ux}$	41.1 (0.019)	40.7	$-0.744 1e_{g}*-1b_{2u}>+0.451 1e_{g}*-1b_{1u}>$	С
			$-0.293 1e_g*-2a_{2u}>+0.255 3e_g*-1a_{1u}>+$	
	symmetry ^b ¹ A _{1g} ¹ E _{ux} ¹ E _{ux}	$\begin{tabular}{ c c c c c c } \hline symmetry^b & calcd^c \\ \hline {}^1A_{1g} & & & & & & & & \\ {}^1E_{ux} & & 14.8 & (0.903) & & & & \\ {}^1E_{ux} & & 30.0 & (0.023) & & & & \\ {}^1A_{2u} & & 34.1 & (0.032) & & & \\ {}^1E_{ux} & & 34.3 & (0.428) & & & \\ {}^1E_{ux} & & 34.7 & (2.233) & & & \\ {}^1E_{ux} & & 36.5 & (0.330) & & & \\ {}^1E_{ux} & & 39.0 & (0.014) & & \\ {}^1E_{ux} & & 41.1 & (0.019) & & \\ \hline \end{tabular}$	$\begin{tabular}{ c c c c c c c c c c c c c c c c c c c$	$\begin{array}{c c c c c c c c c c c c c c c c c c c $

^{*a*} The number of the state assigned in terms of ascending energy in the ZINDO calculation. Only states that result from allowed electronic transitions with a nonzero oscillator strength are included in the table. ^{*b*} The symmetry of the state under D_{4h} symmetry. ^{*c*} The calculated band energies (10³ cm⁻¹) and oscillator strengths in parentheses. ^{*d*} Observed energies (10³ cm⁻¹) from the data of Nyokong et al.^{11a} ^{*e*} The calculated wave functions based on the eigenvectors produced by the configuration interaction calculation of the ZINDO program. N denotes orbitals associated with the aza-nitrogen lone pair orbitals. The orbital energies are shown in Tables 7 and 8. ^{*f*} The assignment is described in the text.

Table 2. Calculated Electronic Excitation Spectrum of ZnF₄₈Pc(-2)

no. <i>ª</i>	symmetry ^b	calcd ^c	obsd ^d	wave function ^e	assignment ^r
1	${}^{1}A_{g}$				ground state
2, 3	${}^{1}E_{ux}$	14.4 (1.104)	14.5	$-0.982 1e_{g}*-1a_{u}>+$	Q
6, 7	${}^{1}E_{ux}$	28.2 (0.047)		$-0.602 2e_{g}^{*}\leftarrow 1a_{u}>^{A}+0.326 2e^{*}\leftarrow 1a_{u}>^{B}$ $-0.270 2e_{g}^{*}\leftarrow 1b_{u}>+$	second $\pi \rightarrow \pi^*$
10, 11	${}^{1}E_{ux}$	32.9 (1.402)	23.3	$0.690 1e_g * - 2a_u > -0.443 2e_g * - 1a_u > +$	B1
18, 19	${}^{1}E_{ux}$	34.4 (0.555)	25.0	$\begin{array}{c} 0.438 3e_g^{*} + 1a_u > -0.295 1e_g^{*} + 2e_g > \\ -0.289 1e_g^{*} + 2b_u > + \dots \end{array}$	B2
20	$^{1}A_{u}$	35.5 (0.021)		$-0.753 a_{g}^{\sigma} \leftarrow 1a_{u} > +0.239 1e_{g}^{*} \leftarrow 1e_{u}^{N} > +$	$\pi \rightarrow \sigma^*/n \rightarrow \pi^*$
23, 24	${}^{1}E_{ux}$	36.0 (0.836)		$\begin{array}{l} 0.457 2e_g^{*} \leftarrow -1a_u > ^A + 0.435 1e_g^{*} \leftarrow -1b_2 > \\ + 0.291 3e_g^{*} \leftarrow -1a_u > + 0.266 2e_g^{*} \leftarrow -1a_u > ^B \\ + 0.216 2b_u \leftarrow 2e_g^{*} > + \dots \end{array}$	

^{*a*} The number of the state assigned in terms of ascending energy in the ZINDO calculation. Only states that result from allowed electronic transitions with a nonzero oscillator strength below 36 000 cm⁻¹ are included in the table. ^{*b*} The symmetry of the state under C_{4h} symmetry. ^{*c*} The calculated band energies (10³ cm⁻¹) and oscillator strengths in parentheses. ^{*d*} Observed energies (10³ cm⁻¹). ^{*e*} The calculated wave functions based on the eigenvectors produced by the configuration interaction calculation of the ZINDO program. N denotes orbitals associated with the aza-nitrogen lone pair orbitals. σ denotes a σ orbital associated with the peripheral fluorines. The orbital energies of the π MOs are shown in Tables 7 and 8. ^{*f*} The assignment is described in the text.

they are due to the electronic withdrawing effect of the fluorinated groups rather than to their bulkiness. It is interesting to note that the combination of F and $i-C_3F_7$ substituents in $ZnF_{64}Pc(-2)$ is more electron withdrawing not only in comparison with $ZnH_{16}Pc(-2)$ but also in comparison with ZnF_{16} -Pc(-2).¹⁰ The decrease in electron density of the Pc ring upon the substitution of aromatic F in $ZnF_{16}Pc(-2)$ by *i*-C₃F₇ groups has been attributed to loss of aromatic fluorine conjugation.¹⁰ Thus, the metallo-macrocycle ring moiety of $ZnF_{64}Pc(-2)$ is expected to be extremely electron deficient and to resist oxidation while favoring the addition of electrons to form anions, as observed electrochemically and photochemically. Notably, octanitro¹² and cyano²⁸ have redox couples of the order +900mV from unsubstituted phthalocyanines, but there are residual C-H groups present in these compounds and the chemical (as opposed to electrochemical) resistance to oxidation is problematic. Their aggregation in solution and the absence of axial Cl⁻ ligation are additional differences relative to the molecules described in this work.

Optical Spectra of ZnF_{64}Pc(-2). In its simplest description, the optical spectroscopy of MPc(-2) can be accounted for by considering only the 16-atom, 18- π -electron cyclic polyene

aromatic system that runs around the inner perimeter of the Pc ligand, Figure 15. Gouterman's model of this inner cyclic polyene, based on a four-orbital linear combination of atomic orbitals (LCAO), has been widely used to describe the optical spectra of both MPor(-2) (Por = porphyrin) and MPc(-2) complexes.^{12,13} In this model, illustrated in Figures 2, 8, and 9, the HOMO has an M_L value of ± 4 , while the LUMO has an M_L value of ± 5 . The association of orbital angular momentum (OAM) with pairs of orbitals follows from the assignment of the molecular orbitals of the aromatic ring system in terms of the OAM associated with the complex wave functions of an ideal cyclic polyene in the sequence $0, \pm 1, \pm 2, \dots, \pm 7, 8$ based on their nodal and antinodal patterns. In this simple scheme, there are four transitions between the HOMO and the LUMO levels involving changes in orbital angular momentum of $\Delta M_{\rm L}$ $= \pm 1$ and ± 9 , Figures 2 and 8, referred to as the B and Q transitions, respectively. In the case of porphyrins, these transitions are forbidden and, thus, weak. The addition of the aza-linkages and peripheral fused benzene rings, that is, the case of MPc(-2), breaks the degeneracy of the HOMO level significantly so that the $1a_{1u}$ and $1a_{2u}$ orbitals become widely separated. Consequently, the Q and B band sets are observed near 670 and 300 nm, respectively. Furthermore, as a consequence of the loss of HOMO degeneracy, and with 1a1u lying

^{(28) (28)} Giraudeau, A.; Louati, A.; Gross, M.; Andre, J. J.; Simon, J.; Su, C. H.; Kadish, K. M. J. Am. Chem. Soc. 1983, 105, 2917.

Table 3. Calculated Electronic Excitation Spectrum of [ZnPc(-3)]-

no. <i>ª</i>	symmetry ^b	calcd ^c	obsd ^d	wave function ^e	assignment [/]
1	$^{2}A_{2}$				ground state
3	$^{2}A_{2}$	8.87 (0.097)	10.4	$0.842 1a_2^* - 1a_2^+ + 0.370 2a_2^* - 2a_2^-$	\tilde{Q}_z
				$-0.281 2a_2*-1a_2>B+$	~
4	$^{2}B_{2}$	10.4 (0.069)	10.8	$-0.683 1b_2*-1a_2>^{B}+0.438 1b_2*-1a_2>^{A}$	\mathbf{Q}_x
				$-0.435 2b_2*-2a_2*>+$	
5	${}^{2}A_{2}$	16.6 (0.594)	15.7	$0.797 2a_2*-1a_2*>-0.356 1a_2*-1a_2>$	$\pi^* \rightarrow \pi^*{}_z$
				$+0.238 1b_2*-1b_2>+$	
6	$^{2}B_{2}$	17.0 (0.292)	11.4	$-0.665 3b_2*-1a_2*>-0.423 2b_2*-1a_2*>$	$\pi^* \rightarrow \pi^*{}_x$
				$-0.380 1b_2*-1a_2>^{A}+$	
7	$^{2}B_{2}$	18.9 (0.469)	17.5	$-0.508 1b_2*-1a_2>A+0.434 4b_2*-1a_2>B$	Q_x /second $\pi^* \rightarrow \pi^*_x$
				$-0.448 4b_2* \leftarrow 1a_2* > +0.257 3b_2* \leftarrow 1a_2* >$	
				$-0.235 2b_2*-1a_2*>+0.209 2b_2*-1a_2>^B+$	
8	$^{2}B_{2}$	21.5 (0.207)		$-0.419 1b_2^*-1a_2^A-0.325 1b_2^*-1a_2^B$	Q_x /second $\pi^* \rightarrow \pi^*_x$
				$-0.420 4b_2*-1a_2>B+0.298 3b_2*-1a_2*>$	
				$+0.226 6b_2*-1a_2*>+$	
13	${}^{2}A_{2}$	25.6 (0.130)		$-0.564 4a_2^*-1a_2^*>-0.512 4a_2^*-1a_2^A$	second $\pi^* \rightarrow \pi^*_z$
				$-0.361 3a_2^* - 1a_2^* > -0.200 6a_2^* - 1a_2^* > +$	
14	$^{2}B_{2}$	26.0 (0.179)	23.0	$-0.631 1a_2^{*}-3b_2>+0.380 1a_2^{*}-2b_2>$	$B1/B2_x$
				$+0.366 1a_2^*-1b_2>+$	
17	$^{2}B_{2}$	27.3 (0.124)	24.8	$0.631 4b_2*-1a_2>A-0.216 4b_2*-1a_2>B$	second $\pi \rightarrow \pi^*_x$
				$+0.314 1a_2^{*}-1b_2^{>}+0.305 4b_2^{*}-1a_2^{*}>$	
				$-0.252 1a_2^{*}-2b_2^{>}-0.212 1a_2^{*}-1b_2^{>}+$	
19	$^{2}B_{2}$	28.3 (0.173)		$0.528 4b_2^* - 1a_2^A - 0.204 4b_2^* - 1a_2^B $	second $\pi \rightarrow \pi^*_x$
				$-0.438 1a_2*-1b_2>+0.249 2a_2*-1b_2>$	
				$+0.240 1a_2^{*}-2b_2>+$	
23	$^{2}B_{2}$	30.8 (0.486)		$-0.400 3b_2*-1a_2>^{A}+0.258 3b_2*-1a_2>^{B}$	$B1/B2_x$
				$-0.304 2a_2*-1a_2>A+0.327 1a_2*-3b_2>$	
				$+0.305 1a_2*-2b_2>-0.292 1a_2*-5b_2>$	
				$+0.219 1a_2^{*}-1b_2>+$	
25	$^{2}B_{2}$	31.9 (0.255)		$0.686 3b_2*-1a_2>^A+0.262 1a_2*-1b_2>$	$B1/B2_x$
				$-0.205 6b_2*-1a_2*>-0.202 5b_2*-1a_2*>B+$	
27	$^{2}B_{2}$	32.6 (0.141)		$0.293 2b_2^* - 1a_2^B + 0.245 1a_2^* - 1b_2^B$	
				$-0.263 3b_2*-1a_2>+0.255 6b_2*-1a_2>$	
				$-0.259 3a_2^* - 4b_2^{>B} - 0.223 1b_2^* - 3a_2^{>B} +$	
31	$^{2}A_{2}$	34.0 (0.274)		$-0.578 1b_2*-1b_2>B+0.187 1b_2*-2b_2>A$	$B1/B2_z$
				$-0.265 4b_2*-2b_2>^B+0.222 3a_2*-1a_2>^A$	
				$-0.219 3a_2^*-1a_2^B-0.222 5a_2^*-1a_2^A+$	
34	$^{2}A_{2}$	34.8 (0.115)		$0.370 1a_2^* - 3a_2^> + 0.338 5a_2^* - 1a_2^> A$	
	2.	0.5.4 (0.0.50)		$+0.272 1b_2*-1b_2>^{A}-0.282 2a_2*-1a_2>^{A}+$	
36	$^{2}A_{2}$	35.1 (0.352)		$-0.473 5a_2^* - 1a_2^A + 0.227 1b_2^* - 1b_2^A$	
				$+0.291 1b_2*-2b_2>^{A}+$	

^{*a*} The number of the state assigned in terms of ascending energy in the ZINDO calculation. Only states that result from allowed electronic transitions with a calculated oscillator strength greater than 0.1 are included in the table. ^{*b*} The symmetry of the state under $C_{2v}(III)$ symmetry. ^{*c*} The calculated band energies (10^3 cm^{-1}) and oscillator strengths in parentheses. ^{*d*} Observed band energies (10^3 cm^{-1}) from the data of Mack and Stillman.^{11c} ^{*e*} The calculated wave functions based on the eigenvectors produced by the configuration interaction calculation of the ZINDO program. A and B refer to separate spin-allowed excited state configurations associated with one-electron transitions linking the same orbitals. N denotes orbitals associated with the aza-nitrogen lone pair orbitals. The orbital energies are shown in Table 9. ^{*f*} The assignment is described in the text.

significantly above $1a_{2u}$, the mixing between the Q and B excited states is much reduced, and the Q-band gains significantly in intensity. The spectral deconvolution studies of phthalocyanines^{11,17} have indicated that there are in fact two separate A terms of almost equal magnitude and bandwidth in the B band region of the porphyrins (250–400 nm). These B1 and B2 bands can only be resolved clearly in the presence of certain axial ligands, such as CN⁻. The band assignment sequence has, therefore, been modified to include separate B1 and B2 transitions superimposed in the 350 nm region,^{11a,g} Figure 8.

We have used Gouterman's MO models to assign the Q, B or B1/B2, N, L, and C bands observed in the optical spectra of a variety of metalloporphyrins, MPor(-2), and MPc(-2) complexes and their cation and anion radical species, based on spectral deconvolution of the UV–visible absorption and magnetic circular dichroism (MCD) spectroscopy^{11,15} by means of the SIMPFIT program.¹⁶ A number of more recent MO calculations for MPc complexes have been reported,¹⁸ but Gouterman's four-orbital model still provides a good starting

framework for describing the MCD spectroscopy of both porphyrin and phthalocyanine complexes.

Spectral Deconvolution Identifies the Major Contributing Bands in the Spectrum. The ground states of divalent, main group metal MPc(-2) complexes are nondegenerate, ¹A_{1g}, under D_{4h} symmetry, so there are no Faraday C terms in the MCD spectrum and the observed MCD spectrum at low shaped A terms locates the major electronic bands, Figure 16. Although the symmetry of the nodal patterns of the MOs of the π -systems of $ZnF_{48}Pc(-2)$, $ZnF_{64}Pc(-2)$, and $(Cl^{-})ZnF_{64}Pc(-2)$ predicted by the INDO/1 calculations are reduced to C_{4h} , the same x/ypolarized $\pi \rightarrow \pi^*$ transitions linking ¹A_g ground states and ¹E_u excited states are responsible for the major bands within the optical spectra, Tables 1-6. For comparison purposes, the spectrum of $(CN^{-})ZnPc(-2)$ will be considered here first.^{11d} As with the perfluoroZnPc, the spectral data are for the (X^{-}) axially bound Zn(II). The low temperature absorption and MCD spectra closely resemble those recorded at room temperature (Figure 10). The $\pi \rightarrow \pi^*$ transitions to ${}^{1}E_{u}$ excited states are

Table 4. Calculated Electronic Excitation Spectrum of [ZnF₄₈Pc(-3)]⁻

no. ^a	symmetry ^b	calcd ^c	obsd ^d	wave function ^e	assignment ^r
1	^{2}A				ground state
3	^{2}A	8.56 (0.063)	13.5	$-0.828 1a^{*}-1a^{>}-0.429 2a^{*}-2a^{>}+$	\tilde{Q}_z
4	^{2}B	11.7 (0.130)	14.3	-0.661 1b*←1a> ^B +0.471 1b*←1a> ^A	Q _x
				+0.421 2b*←1a*>+	
5	^{2}A	15.6 (0.715)	12.9	$0.775 2a^{+1}a^{+} - 0.400 1a^{+} - 1a^{+} +$	$\pi^* \rightarrow \pi^*{}_z$
6	^{2}B	16.3 (0.371)	15.4	$-0.676 3b^{+-1}a^{+}>-0.436 1b^{+-1}a^{-A}$	Q_x /second $\pi^* \rightarrow \pi^*_x$
				+0.351 2b*←1a*>+	
7	^{2}B	18.0 (0.337)		$-0.520 4b*-1a*>-0.475 4b*-1a>^{B}$	Q_x /second $\pi^* \rightarrow \pi^*_x$
				-0.399 1b*-1a>A+0.278 3b*-1a*>B+	
9	$^{2}\mathrm{B}$	21.5 (0.236)	16.8	$-0.454 2b^{+-1}a^{+}> -0.438 4b^{+-1}a^{+}>$	$\pi^* \rightarrow \pi^*{}_x$
				$-0.365 3b^{*}-1a^{>}+0.365 1b^{*}-1a^{>A}$	
				+0.310 1b*-1a*>B+0.284 4b*-1a*>B+	
12	^{2}A	24.0 (0.096)		0.738 4a*←1a> ^A −0.392 4a*←1a*>	second $\pi^* \rightarrow \pi^*_z$
	2-			+0.288 3a*-1a*>+	
15	$^{2}\mathrm{B}$	26.0 (0.282)		$-0.613 4b^{*}-1a^{A}-0.405 1b^{*}-1b^{A}$	$B1/B2_x$
	2.	0.6.1.(0.100)		$+0.264 4b^{*}-1a^{>B}+$	
16	^{2}A	26.1 (0.103)		0.527 3a*←1a>A−0.360 3a*←1a>B	second $\pi \rightarrow \pi^*{}_z$
17	25	2 (1)(0,000)		-0.304 5a*-1a+	
17	2B	26.4 (0.089)		$-0.494 1a^{+}-3b^{+}+0.454 4b^{+}-1a^{+}-1a^{+}$	
10	210	27.0 (0.2(1))	21.1	$-0.339 1a^{-2b^{-0.2/6}} 1a^{-1b^{-1.6}} 1a^{-1.6} 1a^$	D1/D2
19	² B 2D	27.9 (0.361)	21.1	$0.483 1a^{-2}b^{-}-0.468 1a^{-1}b^{+}$	$B1/B2_x$
23	-B	29.7 (0.249)		$0.528 30^{+1}a^{+1}+0.428 1a^{+-3}b^{-2}$	second $\pi^* \rightarrow \pi^*_x$
				$-0.31/ 1a^{-20}+0.284 2a^{-20} $	
24	2 D	20.0 (0.157)		$-0.25/ 1a^{+}-1b^{+}+$	D1/D2
24	-D	30.0 (0.137)		$-0.021 50^{+}$ $1a^{+}-0.285 1a^{+}$ 20^{-}	$\mathbf{D}\mathbf{I}/\mathbf{D}\mathbf{Z}_{X}$
20	20	21.8(0.140)		$-0.260 1a^{+}-10^{-}+$	
20	Б	31.8 (0.140)		$-0.304 50^{-1}1a^{-1}-0.507 50^{-1}1a^{-1}$	
				$-0.256 _{1a}*-1b>\pm$	
30	2Δ	32.9(0.414)		$0.200 1a^{+1}10^{-1}1$ $0.302 1a^{+-1}a^{-1$	
50	А	52.7 (0.414)		$+0.32711_{2} + 5_{2} > B + 0.31111_{2} + 1_{2} > B$	
				-0.23111b*-1b>A+	
33	2 A	33 1 (0 339)	23.3	$-0.387 1b^* + 1b^* + 1$	B1/B2
55		55.1 (0.557)	20.0	-0.371 4a* - 1a > A - 0.257 1b* - 4b > B	
				$-0.257 1_{a} + 4_{a} > B_{+}$	
35	^{2}A	34.3 (0.098)		$-0.309 1h*\leftarrow1b>^{B}-0.275 4h*\leftarrow2b>^{B}$	
	**	5 (0.050)		$+0.263 4a^{*}-1a^{A}+0.263 6a^{*}-1a^{A}>$	
				-0.259 2a*←1a> ^B +	

^{*a*} The number of the state assigned in terms of ascending energy in the ZINDO calculation. Only states that result from allowed electronic transitions with a calculated oscillator strength greater than 0.1 are included in the table. ^{*b*} The symmetry of the state under C_2 symmetry. ^{*c*} The calculated band energies (10^3 cm^{-1}) and oscillator strengths in parentheses. ^{*d*} Observed band energies (10^3 cm^{-1}). ^{*e*} The calculated wave functions based on the eigenvectors produced by the configuration interaction calculation of the ZINDO program. A and B refer to separate spin-allowed excited state configurations associated with one-electron transitions linking the same orbitals. N denotes orbitals associated with the aza-nitrogen lone pair orbitals. The orbital energies are shown in Table 9. ^{*f*} The assignment is described in the text.

Table 5. Calculated Electronic Excitation Spectrum of ZnF₆₄Pc(-2)

no. ^a	symmetry ^b	calcd ^c	obsd ^d	wave function ^e	assignment [/]
1	$^{1}A_{g}$				ground state
2, 3	${}^{1}E_{ux}$	14.7 (1.013)	14.5	$-0.952 1e_g* - 1a_u> +0.251 1e_g* - 2a_u>$	Q
6, 7	${}^{1}E_{ux}$	28.3 (0.062)		$-0.977 2e_{g}^{*} \leftarrow 1a_{u}^{>} + \dots$	second $\pi \rightarrow \pi^*$
10, 11	${}^{1}E_{ux}$	32.7 (0.801)	23.3	$\begin{array}{l} 0.723 3e_g^{*-1}a_u > -0.424 1e_g^{*-2}a_u > \\ + 0.207 1e_g^{*-1}b_u > + \dots \end{array}$	B1
18, 19	$^{1}\mathrm{E}_{\mathrm{ux}}$	34.8 (0.388)		$\begin{array}{l} 0.504 1e_{g}^{*}\leftarrow1b_{u}>-0.467 1e_{g}^{*}\leftarrow2b_{u}>\\ +0.303 1e_{g}^{*}\leftarrow3a_{u}>+0.282 1e_{g}^{*}\leftarrow2b_{u}>\\ +0.273 1e_{g}^{*}\leftarrow1a_{u}>-0.201 1b_{u}^{*}\leftarrow1e_{g}>+\end{array}$	B2
20, 21	${}^{1}E_{ux}$	35.7 (0.444)		$\begin{array}{c} 0.551 3e_g^{*}\leftarrow 1a_u>-0.414 1e_g^{*}\leftarrow 1b_u>\\ +0.340 1e_g^{*}\leftarrow 2b_u>+0.265 1e_g^{*}\leftarrow 2a_u>+\end{array}$	
23, 24	${}^{1}E_{ux}$	36.3 (1.198)		$\begin{array}{c} -0.474 1e_g^{*}-2e_u^{>}-0.425 3e_g^{*}+1a_u^{>}A\\ +0.228 1e_g^{*}-3a_u^{>}+0.204 3e_g^{*}-1a_u^{>}B\\ +0.204 1b_u-2e_g^{*}>+\dots\end{array}$	

^{*a*} The number of the state assigned in terms of ascending energy in the ZINDO calculation. Only states that result from allowed electronic transitions with a nonzero oscillator strength below 36 000 cm⁻¹ are included in the table. ^{*b*} The symmetry of the state under C_{4h} symmetry. ^{*c*} The calculated band energies (10³ cm⁻¹) and oscillator strengths in parentheses. ^{*d*} Observed energies (10³ cm⁻¹). ^{*e*} The calculated wave functions based on the eigenvectors produced by the configuration interaction calculation of the ZINDO program. The orbital energies are shown in Tables 7 and 8. ^{*f*} The assignment is described in the text.

fully allowed with x/y polarization, Table 1, while *z*-polarized transitions to vibronic and $n\pi^*$ excited states with ${}^{1}A_{2u}$ are also possible. The energies of the (CN⁻)ZnPc(-2) vibrational bands are in good agreement with those reported in the Shpol'skii

matrix emission studies of Huang et al.,²⁹ where much narrower bandwidths were obtained. Huang et al. proposed that there is a *z*-polarized $n \rightarrow \pi^*$ band at 604 nm with the aza-nitrogen lone pair orbitals at slightly higher energy than the Q transition

Table 6. Calculated Electronic Excitation Spectrum of (Cl⁻)ZnF₆₄Pc(-2)

no. ^a	symmetry ^b	calcd ^c	obsd ^d	wave function ^e	assignment ^r
1	¹ A ₁				ground state
2, 3	${}^{1}E_{x}$	14.3 (0.838)	14.5	-0.902 1e*←1a>+	Q
5,6	${}^{1}E_{x}$	23.8 (0.794)	23.3	-0.854 1e*←2a> ^A -0.310 1e*←1a>	B1
				$+0.261 1e^{*-2a>B}+$	
10, 12	${}^{1}E_{x}$	26.2 (0.035)	25.0	-0.973 2e*←1a>+	second $\pi \rightarrow \pi^*$
16, 17	${}^{1}E_{x}$	32.0 (0.031)		$0.582 2e^{-1a}-0.546 \sigma^{-1a} a>$	
				$-0.304 \sigma^{*-1a}+0.221 3e^{*-1a}+$	
30	${}^{1}A_{1}$	35.3 (0.019)		$-0.626 1e^{*}-3e^{N>A}+0.507 1e^{*}-3e^{N>B}$	$n \rightarrow \pi^*$
				$+0.431 1b*-1b^{N}>-0.219 3b*-1b^{N}>+$	
31, 32	${}^{1}E_{x}$	35.7 (0.044)		$-0.439 1e^{-1b}+0.383 1e^{-2b}$	
				$-0.254 1e^{-4a}+0.241 3e^{-1a}$	
				$+0.220 1b^{*}-1e^{A}+0.211 1b^{*}-1e^{B}+$	

^{*a*} The number of the state assigned in terms of ascending energy in the ZINDO calculation. Only states that result from allowed electronic transitions with a nonzero oscillator strength below 36 000 cm⁻¹ are included in the table. ^{*b*} The symmetry of the state under C_4 symmetry. ^{*c*} The calculated band energies (10³ cm⁻¹) and oscillator strengths in parentheses. ^{*d*} Observed energies (10³ cm⁻¹). ^{*e*} The calculated wave functions based on the eigenvectors produced by the configuration interaction calculation of the ZINDO program. N denotes orbitals associated with the aza-nitrogen lone pair orbitals. The orbital energies are shown in Tables 7 and 8. ^{*f*} The assignment is described in the text.

	Table 7.	Energies of the π -S	vstem MOs of ZnPc(-2)	, ZnF ₄₈ Pc	(−2), ZnF	64Pc(−2), and (Cl	–)ZnF ₆₄ Pc(-	-2)
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	$\pi\mathrm{MO}$	ZnPc(-2)	$\pi\mathrm{MO}$	ZnF ₄₈ Pc(-2)	$\pi\mathrm{MO}$	ZnF ₆₄ Pc(-2)	$\pi\mathrm{MO}$	(CI)ZnF ₆₄ Pc(2)
ML	D_{4h}	eV	C_{4h}	eV	C_{4h}	eV	C_4	eV
8	2a _{2u} *	2.961	3au*	1.780	3au*	1.843	3a*	4.795
±7	4eg*	2.945	4eg*	1.587	4eg*	1.636	4e*	4.066
	0	2.946	U	1.584	U	1.628		4.047
	$2b_{2u}*$	2.31	4b _u *	0.739	4b _u *	0.767	4b*	3.414
	2b _{1u} *	2.066	3b _u *	0.734	3b _u *	0.736	3b*	3.059
	3eg*	1.677	3eg*	0.029	3eg*	0.068	3e*	2.162
	-	1.676	-	0.017	-	0.102		2.132
	$1a_{1u}^*$	1.544	$2a_u^*$	-0.193	$2a_{u}*$	-0.318	2a*	1.872
	$1a_{2u}*$	0.787	1a _u *	-0.701	1au*	-0.626	1a*	1.633
	2eg*	0.736	2eg*	-0.775	2eg*	-0.703	2e*	1.553
		0.734		-0.782		-0.724		1.532
± 6	$1b_{2u}*$	0.359	2b _u *	-1.066	2b _u *	-0.950	2b*	1.408
	$1b_{1u}^{*}$	0.098	1b _u *	-1.421	1b _u *	-1.367	1b*	0.928
± 5	1eg*	-1.495	1eg*	-2.824	1eg*	-2.728	1e*	-0.182
		-1.493		-2.829		-2.739		-0.192
± 4	$1a_{1u}$	-5.534	1a _u	-6.784	1a _u	-6.752	1a	-4.227
	$1a_{2u}$	-8.318	$2a_u$	-9.558	2a _u	-9.584	2a	-5.960
	$1b_{1u}$	-8.78	$2b_u$	-10.135	$2b_u$	-10.075	2b	-7.807
	1eg	-8.811	1eg	-9.881	1eg	-9.916	1e	-7.570
		-8.813		-9.884		-9.928		-7.595
	2eg	-9.021	$2e_g$	-10.163	2eg	-10.082	2e	-7.791
		-9.021		-10.177		-10.090		-7.818
	$2a_{2u}$	-9.161	$4a_u$	-10.565	3a _u	-10.392	3a	-7.398
	$1b_{2u}$	-9.297	1b _u	-9.962	$1b_u$	-9.979	1b	-7.652
	$2a_{1u}$	-9.711	3a _u	-10.508	4a _u	-10.501	4a	-8.229
± 3	3eg	-10.695	3eg	-11.754	3eg	-11.744	4e	-9.165
		-10.698		-11.761		-11.767		-9.196
	$3a_{2u}$	-13.292	5a _u	-13.967	5a _u	-14.294	$5a^b$	-8.330

^{*a*} The orbitals of $ZnF_{48}Pc(-2)$, $ZnF_{64}Pc(-2)$, and $(Cl^{-})ZnF_{64}Pc(-2)$ are ordered in terms of the energies of the corresponding ZnPc(-2) orbital which shows the same pattern of nodes and antinodes in electron density to facilitate comparison of the calculated optical spectra of D_{4h} , C_{4h} , and C_4 complexes. The orbital angular momentums associated with the orbitals located primarily on the inner cyclic polyene are shown in the left-hand column. ^{*b*} The 5a MO of (Cl⁻)ZnF₆₄Pc(-2) is destabilized due to the presence of the (X⁻) axial ligand.

which gives rise to a second set of weak vibrational bands to the blue of the Q transition, since the fluorescence excitation and emission spectra did not have the anticipated mirror symmetry. The results of the spectral band deconvolution of $(CN^{-})ZnPc(-2)$ were consistent with the presence of this weak z-polarized $n \rightarrow \pi^*$ band.^{11d} In contrast, most recent MO calculations¹⁸ predict that the $n \rightarrow \pi^*$ transition occurs at a much higher energy, Table 1. For $ZnF_{64}Pc(-2)$, the deconvolution of the vibrational components, Q_{vib} , to the blue of the main Q_{00} band, Figure 16, requires far fewer bands, but the individual vibrational bands could not be resolved because of the low resolution. The presence of an $n \rightarrow \pi^*$ band as the origin of the second weaker set of vibrational bands observed between 550 and 610 nm, while possible, cannot be confirmed.^{11d} Further light on the electronic structures of perfluoroalkyl phthalocyanines is shed by ZINDO calculations. The data in Table 1 indicate that the splitting of the $1a_{1u}$ and $1a_{2u}$ HOMOs of MPc(-2) is of sufficient magnitude for the $1a_{1u} \rightarrow 2e_g^*$ transition to occur at a lower energy than that of the allowed $1a_{2u} \rightarrow 1e_g^*$ B1 transition, Figure 8. A very weak, derivativeshaped signal at around 435 nm present in the MCD spectrum of MgPc(-2)^{11e,g,17b} was previously assigned as an A term associated with this second $\pi \rightarrow \pi^*$ transition that was not part of the original Gouterman band assignment scheme, Figure 8.

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Table 8. Calculated Energies and Symmetries of the σ MOs of ZnPc(-2), ZnF₄₈Pc(-2), ZnF₆₄Pc(-2), (Cl⁻)ZnF₆₄Pc(-2), [ZnPc(-3)]⁻, and [ZnF₄₈Pc(-3)]⁻ that Are Associated with the Lone Pair Orbitals of the Aza-Nitrogens and Pyrollic Nitrogens between -12 and 3 eV in the Case of ZnPc(-2)^a

ZnPc(–2) D _{4h}	ZnF ₄₈ Pc(–2) <i>C</i> _{4h}	ZnF ₆₄ Pc(–2) C _{4h}	(CI)ZnF ₆₄ Pc(-2) <i>C</i> 4	[ZnPc(–3)] [–] C _{2v} (III)	[ZnF ₄₈ Pc(–3)] ⁻ <i>C</i> ₂ (III)
$\begin{array}{c} 1b_{1g}-9.532\\ 1b_{2g}-9.766\\ 1e_{u}-10.013\\ 1e_{u}-10.018\\ 1a_{1g}-10.817 \end{array}$	$\begin{array}{c} 1b_{g}-10.732\\ 2b_{g}-11.013\\ 1e_{u}-11.192\\ -11.214\\ 1a_{g}-11.985\end{array}$	$\begin{array}{c} 1 b_g -10.765 \\ 2 b_g -10.792 \\ \textit{le} -11.200 \\ -11.266 \\ 1 a_g -11.984 \end{array}$	$\begin{array}{r} 4b - 8.096 \\ 3b - 7.983 \\ 3e - 8.534 \\ - 8.603 \\ 6a - 9.354 \end{array}$	$ \begin{array}{r} 1b_1 - 6.646\\ 1a_1 - 6.767\\ 2b_1 - 7.122\\ 2a_1 - 7.128\\ 3a_1 - 7.927 \end{array} $	$1b_1 - 8.015 1a_1 - 8.177 2b_1 - 8.472 2a_1 - 8.486 3a_1 - 9.264$

^{*a*} The rows are ordered according to the nodal and antinodal patterns that are observed for each complex relative to the high-symmetry ZnPc(-2) parent complex. Italics are used to indicate degenerate orbitals under D_{4h} symmetry.

Table 9.	Energies of	the π -System MOs	of ZnPc(-2)	, ZnF ₄₈ Pc	;, [ZnPc(−3)] [−] ,	, and [ZnF ₄₈ Pc(−3)]⁻	and the Energy Difference (Δ)
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	$\pi\mathrm{MO}$	ZnPc(-2)	$\pi\mathrm{MO}$	ZnF ₄₈ Pc	Δ	$\pi\mathrm{MO}$	[ZnPc(-3)]-	$\pi\mathrm{MO}$	[ZnF ₄₈ Pc(-3)] ⁻	Δ
ML	D_{4h}	eV	C_{4h}	eV	eV	$C_{2\nu}(III)$	eV	<i>C</i> ₂	eV	eV
8	2a _{2u} *	2.961	3a _u *	1.780	1.181	8b ₂ *	5.680	8b*	4.353	1.327
±7	$4e_g*$	2.945	4eg*	1.587	1.358	7b ₂ *	5.579	7b*	4.005	1.574
	0	2.946	U	1.584	1.362	7a ₂ *	5.529	7a*	4.001	1.528
	2b _{2u} *	2.31	4b _u *	0.739	1.571	6a2*	4.770	6a*	3.032	1.738
	2b _{1u} *	2.066	3b _u *	0.734	1.332	6b ₂ *	4.680	6b*	3.220	1.460
	3eg*	1.677	3eg*	0.029	1.648	5b ₂ *	4.147	5b*	2.372	1.783
		1.676		0.017	1.659	5a ₂ *	4.155	5a*	2.344	1.803
	$1a_{1u}^*$	1.544	$2a_u^*$	-0.193	1.737	$4a_2^*$	3.995	4a*	2.064	1.931
	$1a_{2u}*$	0.787	$1a_u^*$	-0.701	1.488	3b ₂ *	3.284	3b*	1.583	1.701
	2eg*	0.736	2eg*	-0.775	1.511	4b ₂ *	3.317	4b*	1.757	1.560
		0.734		-0.782	1.516	3a ₂ *	3.054	3a*	1.394	1.660
± 6	1b _{2u} *	0.359	2b _u *	-1.066	1.425	2a ₂ *	2.340	2a*	0.655	1.685
	$1b_{1u}*$	0.098	$1b_u*$	-1.421	1.519	$2b_2*$	2.779	2b*	1.197	1.582
± 5	1eg*	-1.495	1eg*	-2.824	1.329	$1b_2*$	1.434	1b*	-0.08	1.514
		-1.493		-2.829	1.336	$1a_{2}^{*}$	-2.301	1a*	-3.899	1.598
± 4	$1a_{1u}$	-5.534	1a _u	-6.784	1.25	$1a_2$	-3.029	1a	-4.417	1.388
	$1a_{2u}$	-8.318	$2a_u$	-9.558	1.24	$1b_2$	-5.574	1b	-6.958	1.384
	$1b_{1u}$	-8.780	$2b_u$	-10.135	1.355	3b ₂	-6.029	3b	-7.341	1.312
	1eg	-8.811	1eg	-9.881	1.07	$2b_2$	-5.876	2b	-7.545	1.416
		-8.813		-9.884	1.071	3a ₂	-6.245	3a	-7.894	1.403
	$2e_g$	-9.021	2eg	-10.163	1.142	$2a_2$	-6.218	2a	-7.292	1.327
		-9.021		-10.177	1.156	$4b_2$	-6.591	4b	-7.648	1.303
	$2a_{2u}$	-9.161	$4a_u$	-10.565	1.404	$4a_2$	-6.621	4a	-8.113	1.481
	$1b_{2u}$	-9.297	1b _u	-9.962	0.665	5b ₂	-6.632	5b	-7.583	0.962
	$2a_{1u}$	-9.711	3a _u	-10.508	0.797	5a ₂	-7.208	5a	-8.178	0.972
± 3	3eg	-10.695	3eg	-11.754	1.059	6a2	-7.802	6a	-9.039	1.237
		-10.698		-11.761	1.063	6b ₂	-8.076	6b	-9.257	1.181

^{*a*} The orbitals of $ZnF_{48}Pc$ and $[ZnF_{48}Pc(-3)]^-$ are ordered in terms of the energies of the corresponding ZnPc(-2) orbital which shows the same pattern of nodes and antinodes in electron density. The orbital angular momentums associated with the orbitals located primarily on the inner cyclic polyene are shown in the left-hand column.

The $2e_g^*$ and $3e_g^*$ MOs are associated primarily with the peripheral benzene rings, while the $1a_{1u}$ orbital is associated almost exclusively with the inner perimeter, 16 atom, 18 π -electron, cyclic polyene.^{11g} In the case of ZnPc(-2), there is poor orbital overlap and almost no mixing through configuration interaction (CI) with the excited state associated with the intense allowed B1 transition and the second $\pi \rightarrow \pi^*$ transition, but there is limited mixing with the $1a_{1u} \rightarrow 3e_g^*$ one-electron transitions which is associated primarily with the N and L bands in Gouterman's band assignment sequence, Table 1. The $1a_{1u}$ and $3e_g^*$ orbitals have nodes on the carbons of the inner cyclic polyene, while the $2e_g^*$ orbital has a set of minor nodes on the aza-nitrogens,^{11g} Figure 12.

In the case of $\text{ZnF}_{64}\text{Pc}(-2)$, there is a larger predicted energy gap between the $1a_{2u}$ and the $1b_{1u}$ orbitals $(2a_u \text{ and } 2b_u \text{ under} C_{4h}$ symmetry), Table 7 and Figure 12, so the $1b_{1u} \rightarrow 1e_g^*$ transition which is believed to be primarily responsible for the B2 band of ZnPc(-2) is not a significant factor, Tables 1 and 5. The $1a_u \rightarrow 2e_g^*$ transition would be expected to have a more significant impact on the optical spectroscopy, since the electron density of the $1a_u$ significant electron density is drawn out of the inner cyclic polyene to the periphery of the π -system by the 64 fluorine atoms, Figure 15. The marked red shift and sharpening of the major bands in the B1/B2 region bands at 400 and 436 nm, compared to those of the spectrum of ZnPc(-2), are, therefore, predicted to be partially due to increased CI between the main $\Delta M_{\rm L} = \pm 1$ allowed B1 excited state and the lower energy second $\pi \rightarrow \pi^*$ ($1a_{1u} \rightarrow 2e_g^*$) excited state. It should be noted, however, that the INDO/s calculation actually predicts enhanced CI between $2a_u \rightarrow 1e^*$ and $1a_u \rightarrow 3e_g^*$ rather than between $2a_u \rightarrow 1e_g^*$ and $1a_u \rightarrow 2e_g^*$ in the case of ZnF₆₄Pc(-2).

The presence of Cl⁻ as an axial ligand is probably a major factor in the marked red shift of the major bands within the B1/B2 region bands relative to that typically observed for MPc(-2). It has been observed previously that the presence of CN⁻ as an axial ligand results in a marked splitting of the B1 and B2 bands.^{11a,f,g,17b,d} The major nodal positions of the 1a_{2u} orbital of MPc(-2) are located on the aza-nitrogens and pyrollic nitrogens which are coordinated to the central metal ion.^{11g} In contrast, those of the 1a_{1u} orbital are located on the eight carbon atoms of the inner cyclic polyene. The presence of a Cl⁻ axial



Figure 12. Electron density contour maps of the three highest HOMOs and three lowest LUMOs arranged in ascending energy for the frontier π -system molecular orbitals of the D_{4h} symmetry ZnPc(-2) (top two rows) and C_{4h} symmetry ZnF₆₄Pc(-2) (bottom two rows) that are associated with the major $\pi \rightarrow \pi^*$ transitions in the UV-visible region, Tables 1, 5, and 7. The images were generated by the CAChe Tabulator program on a molecular outline at the 0.05 energy au level of the isosurface value of the electrostatic potential. The green and blue shading of the HOMOs and the red and yellow shading of the LUMOs represent the two phases visible from one face of the ring. The peripheral fluorines are colored green.

ligand would therefore be expected to have a much greater influence on the energy of the B1 transition than on the Q transition, since the pyrollic nitrogens are coordinated with the central metal ion. In the case of (Cl⁻)ZnF₆₄Pc(-2), the B1 band is predicted to red shift significantly, Table 6. The predicted reduction in CI between the B1 excited state and the higher energy excited states in the UV region would also account for the marked sharpening of the major bands observed at 400 and 436 nm.

Optical Spectra of $[ZnF_{64}Pc(-3)]^-$ **.** The lack of temperature dependence observed in the MCD spectrum of $[ZnPc(-3)]^{-11c,30}$ and $[ZnF_{64}Pc(-3)]^-$, Figure 12, is unambiguous evidence that the MCD spectrum is completely dominated by B terms (rather

than the temperature dependent C terms). Band deconvolution carried out on both the absorption and MCD spectral data, Figures 17 and 18, requires a large number of individual bands. Like the fits required for the $[ZnPc(-3)]^-$ reported previously,^{11c} only MCD B terms were used, and in every case, removal of one of the bands resulted in significantly reduced fitting quality. In the fitting carried out here, the band parameters are used in both the absorption and MCD spectral data.

A ²E ground state would have been anticipated under the C_4 symmetry observed in the case of (Cl⁻)ZnPc(-2), Table 6, so

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Figure 13. Electron density contour maps of the three highest HOMOs and three lowest LUMOs arranged in ascending energy for the frontier π -system molecular orbitals of $C_{2\nu}(III)$ symmetry $[ZnPc(-3)]^-$ (top two rows) and $[ZnF_{48}Pc(-3)]^-$ species (bottom two rows) that are associated with the major $\pi \rightarrow \pi^*$ and $\pi^* \rightarrow \pi^*$ transitions in the UV-visible region, Tables 3, 4, and 7. The images were generated by the CAChe Tabulator program on a molecular outline at the 0.05 energy au level of the isosurface value of the electrostatic potential. The green and blue shading of the HOMOs and the red and yellow shading of the LUMOs represent the two phases visible from one face of the ring. The peripheral fluorines are colored green.

there must be a substantial static Jahn–Teller splitting of the ground state. In the absence of X-ray crystallographic data, $[ZnPc(-3)]^-$ was assumed to have $C_{2\nu}(III)$ symmetry, since the MOs obtained from the ZINDO calculations would have $C_{2\nu}(III)$ properties³¹ if ring saddling occurs due to the loss of aromaticity,^{11c} Figure 16. Axial ligation is not expected to induce significant ring distortions, thus leaving the electron addition as the only reason that can account for this ring saddling. Under $C_{2\nu}(III)$ symmetry, the C_2 axis of MPc(-2) lies in the plane of the ring and thus does not correspond to the C_4 symmetry axis of MPc(-2). Michl³² has demonstrated that when the symmetry

of cyclic polyene complexes is reduced below D_{4h} , the derivative-shaped, x/y-polarized A terms are replaced by intense, coupled pairs of x- and y-polarized, Gaussian-shaped B terms of opposite sign. The polarization of the coupled bands are x and z in the case of the C_2 and $C_{2\nu}(III)$ symmetry of $[ZnF_{48}-Pc(-3)]^-$ and $[ZnPc(-3)]^-$ where the major symmetry axis does not match that of D_{4h} , Figure 16. The band polarizations do not determine the sign of the B terms; rather, the sign is due to the relative orbital angular momenta of the ground and excited states in the absence of the perturbation to the molecular symmetry. When there is a static Jahn–Teller distortion of the partially

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Figure 14. Effect on the λ_{max} of the Q-band of ZnR'8R''8Pc(-2) of subsitution with R' = H and F and R'' = H, F, $-C_2F_5$, and *i*- C_3F_7 at the α -and β -positions, respectively. The geometries for α 8F, β 8F, $16F(\alpha$ 8F β 8F), β 8C₂F₅, α 8F β 8C₂F₅, β 8*i*- C_3F_7 , and α 8F β 8*i*- C_3F_7 complexes were optimized using the MOPAC software package within the CAChe Workspace, and the UV-visible spectra were calculated using the INDO/s Hamiltonian.



Figure 15. Diagram showing the 16-atom, $18-\pi$ -electron polyene system of ZnPc complexes that is the basis for the four-orbital model of Gouterman.

filled $1e_g^*$ LUMO in an $[MPc(-3)]^-$ species, generated by the addition of an electron to the two orbitals of the Jahn-Teller split LUMO of MPc(-2), there are three possible spin-allowed electronic configurations that explain the Q, B1, and B2 $\pi \rightarrow$ π^* transitions of the MPc(-2) species, Tables 3 and 4 and Figure 9. Only two spin-allowed electronic transitions are possible for the main $\Delta M_{\rm L} = \pm 1$ allowed $\pi^* \rightarrow \pi^*$ transition out of the Jahn-Teller split 1eg* LUMO of the inner cyclic polyene to the set of symmetry split orbitals that would be a 1e_u* orbital if only the inner cyclic polyene were considered. The coupled negative and positive B terms arising from these $\pi^* \rightarrow \pi^*$ bands are expected to have similar intensities, since the B terms that arise from field-induced mixing of close-lying states should sum to zero. This equivalence in intensity is not anticipated between the three coupled negative and positive B terms arising from the major $\pi \rightarrow \pi^*$ transitions. An intense B term is expected to be followed at higher energy by two weaker B terms of the opposite sign. The bands at 958/925/880 and 635/569 nm in the [ZnPc(-3)]⁻ spectrum were assigned to the Q and $\pi^* \rightarrow \pi^*$ transitions on this basis, since they matched the B term intensity patterns anticipated to arise from the lowest energy $\pi^* \rightarrow \pi^*$ and $\pi^* \rightarrow \pi^*$ transitions.

In the case of $[ZnF_{48}Pc(-3)]^-$, the ZINDO calculation predicts an increased separation between the LUMO+1 and LUMO+2 orbitals that are involved in the $\pi^* \rightarrow \pi^*$ transition, Tables 3 and 4. Consequently, a larger energy gap would be



Figure 16. Band deconvolution analysis of the absorption and MCD spectra of $ZnF_{64}Pc(-2)$ in the Q (A and C) and B1/B2 (B and D) regions. The residuals between the fitted and experimental lines are shown under the spectral data. The fitting was carried out by connecting the band parameters in both absorption and MCD spectra, both A terms and B terms were used. A coupled B term was always added when A terms were included in the fit. The number of bands used in the Q-band vibrational region between 15 000 and 17 000 cm⁻¹ does not reflect the actual number of individual bands present. In all other regions, the number of bands used is the minimum necessary to reduce the residuals in both absorption and MCD data. The energies of the positive A terms used in this fit are shown in Figure 18.



Figure 17. Spectral band deconvolution analysis of the absorption and MCD spectra of $[ZnF_{64}Pc(-3)]^-$. The residuals between the fitted and experimental lines are shown under the spectral data. The fitting was carried out by connecting the band parameters in both absorption and MCD spectra; only B terms were used. The number of bands used in the region between 11 000 and 20 000 cm⁻¹ is the minimum necessary to reduce the residuals in both absorption and MCD data. The energies of all the B terms used in this fit are shown in Figure 18.



Figure 18. A stacked plot showing the energies of the A terms used in the fits of the absorption and MCD spectral data for $(CN^{-})ZnPc(-2)^{16a,b}$ and $(Cl^{-})ZnF_{64}Pc(-2)$ and the B terms used in the fits of the data for the ring-reduced $[(Cl^{-})ZnF_{64}Pc(-3)]^{-}$ and $[ZnF_{64}Pc(-3)]^{-}$. The signs of the B terms are indicated by the position of the energy bar; left represents negative B terms, and right represents positive B terms.

anticipated in the two B terms. A large splitting in the $\pi^* \rightarrow \pi^*$ B terms has previously been observed in the spectrum of [ZnTPP(-3)]^{-.33} The sequence of two negative and three positive B terms, identified between 550 and 800 nm, Figure

17, can therefore be assigned to overlapping bands arising from the Q and $\pi^* \rightarrow \pi^*$ bands. This overlap occurs because of the blue and red shifts of the Q and $\pi^* \rightarrow \pi^*$ bands, respectively. The bands at 429 and 475 nm can be assigned primarily to the B1 transition. The red shift and band sharpening relative to $[ZnPc(-3)]^-$, which are similar to those observed in the case of $ZnF_{64}Pc(-2)$, are again probably due to increased configuration interaction with the second $\pi \rightarrow \pi^*$ ($1a_{1u} \rightarrow 2e_g^*$ in the case of MPc(-2)) transition rather than with higher energy excited states. This interaction would also account for the drop in intensity observed in the 300–400 nm region.

The failure to photooxidize (Cl⁻)ZnF₆₄Pc(-2) is in stark contrast with (i) the behavior of nonfluorinated ZnPc(-2), for which the photooxidation reaction results in the formation of a $[ZnPc(-1)]^+$ species and (ii) the notion that up to four additional electrons can be reversibly added or two reversibly removed from the phthalocyanine π -system.^{11b} ZINDO calculations (Table 7), however, support our observations by predicting a relative stabilization of the molecular orbitals of the π -system of ZnF₆₄Pc(-2) of over 1 eV.

Conclusions

The introduction of eight bulky, *i*-C₃F₇ groups at the periphery of the Pc ring facilitates the study in solution by enhancing solubility. The electron withdrawing properties of the substituents has a substantial impact on the π -system of the ligand, which is strongly reflected in changes in the redox properties of ZnF₆₄Pc(-2). Ring oxidation is not possible using the conventional chemical, photochemical, and electrochemical approaches developed for ZnPc(-2). Importantly, the [ZnF₆₄-Pc(-3)]⁻ anion radical can be easily produced and is usually

present in equilibrium with the neutral complex and exhibits a remarkable stability for an oxygen-sensitive paramagnetic species. The optical spectra of both $ZnF_{64}Pc(-2)$ and $[ZnF_{64}-2]$ Pc(-3)⁻ are also markedly different from those of the unsubstituted ZnPc(-2) and $[ZnPc(-3)]^{-}$ species, consistent with the notion that the electron withdrawing influence of the peripheral substituents effects the π MO to differing extents. The B1 and Q-bands are red shifted; the location of the latter in the red region of the visible spectrum, combined with the chemical resistance imparted by the perfluoroalkyl substituents, suggests possible future applications in photobiology, such as photodynamic therapy.¹⁰ The chemical stability of both the neutral and anion radical species could also be exploited for catalytic purposes.^{9,19} Other peripheral R_F substituents are likely to have a major impact on the electronic structure of the Pc π -system and thus could be used to design new complexes with a specific set of properties suited to new applications.

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